# The Locus of Variation in Ā-Sensitive Agreement

Nico Baier

McGill University - nico.baier@mcgill.ca

# 1 Introduction

- In many languages, clausal morphology is sensitive to the features typically associated with Ā-extraction, such as those related to *wh*-questioning, relativization, focus and topicalization.
- In particular, in many languages the form of φ-agreement is sensitive to these features, a phenomenon I will refer to as Ā-sensitive φ-agreement (effects), defined descriptive as in (1).
  - (1)  $\bar{A}$ -sensitive  $\varphi$ -agreement effects

An instance of  $\varphi$ -agreement X exhibits an  $\overline{A}$ -sensitivity effect if

- a. X takes the form  $\alpha$  for a particular set of  $\phi\text{-features }\phi_1$  on nominal N when N does not have an  $\bar{A}\text{-feature}$  and
- b. X takes the form  $\beta$  for  $\varphi_1$  on N when N does have an  $\overline{A}$ -feature, where  $\alpha \neq \beta$ .
- Some examples of effects in relative clauses meeting the definition above are shown in (2)–(4), below.<sup>1</sup>
- (2) Fiorentino (Romance, Italy)

 le ragazze che { gli / \*le } { ha / \*hanno } parlato con te

 the girls C { 3sG.M / \*3PL.F } { have.3sG / \*have.3PL } spoken with you

 'the girls who have spoken to you?'

 (Brandi and Cordin 1989:124–125)

(3) Abkhaz (West Caucasian, Russia)

wəy	a-xac'a	$d a - \{ \mathbf{z}_j / \mathbf{l}_j \} - b a z$	a-jyab <sub>j</sub>	
that	def-boy	3sg.an.abs-{wh.erg/*3sg.f.erg}-saw	def-girl	
the ۽'	girl who sa	w that boy'		(Hewitt 1979:61)

(4) Kabyle<sup>2</sup>(Berber, Algeria)

taqcict-nni i {i-wala-n /\*t-wala } Mohand woman-dem C {3sg.м-see-ptcp/3sg.F-see } Mohand

'the girl who saw Mohand'

- In all three languages, the form of  $\phi$ -agreement crossreferencing the head of the relative clause does not take the form expected given the  $\phi$ -features of that nominal
- However, there are differences in the specifics of the morphology that surfaces in these contexts.
  - ho Fiorentino  $\rightarrow$  The subject clitic and finite auxiliary are in default form (3sg.M and 3sg)
- $\triangleright$  Abkhaz  $\rightarrow$  Ergative agreement takes a specialized form that only occurs with  $\bar{A}$ -arguments (z-)
- $\ \ \, \hbox{\bf Kabyle} \rightarrow \hbox{\bf The verb takes default agreement ($i$- 3$G.M$) and an additional `participle` suffix ($-n$) }$

#### The Puzzle

Why does implication in an  $\overline{A}$ -dependency affect the form of agreement referencing a DP? That is, why does the situation in (5) potentially affect  $\varphi$ -agreement on H?

(5)  $[\dots DP_{[\phi, \tilde{A}]} \dots AGR-H \dots]$ 

- The dominant line of thought in the previous literature has been to treat default morphology in the Ā-context and specialized morphology in the Ā-context as **distinct**.
  - ▷ Default morphology  $\rightarrow$  generally referred to as **anti-agreement** since Ouhalla (1993);
    - Treated as lack of agreement. Syntactic constraints on Ā-movement block extraction of the agreeing DP. Circumvention of these constraints *disrupts* the normal syntax of agreement (Ouhalla 1993; Richards 1997, 2001; Boeckx 2003; Schneider-Zioga 2007; Diercks 2010; Henderson 2013, a.o.).
  - ▷ Specialized morphology → generally referred to as *wh*-agreement in the literature (Georgopoulos 1991; Watanabe 1996; Chung 1998).
    - Treated as the result of a normal agreement process between a head/probe and DP bearing Ā-related features (Chung and Georgopoulos 1988; Georgopoulos 1991; Chung 1998; Watanabe 1996; O'Herin 2002; Caponigro and Polinsky 2015)
- On this view,  $\tilde{A}$ -sensitive  $\phi$ -agreement effects do not constitute a single theoretical class

#### Evidence from variation

- Today, I focus on the range of variation in the morphology that languages employ in the Ā-context, what this morphological variation tells us about Ā-sensitive φ-agreement
- $\Rightarrow$  I argue that the above analytic dichotomy should be abandoned anti-agreement and *wh*-agreement are two different surface instantiations of the same underlying phenomenon. Variation is located in the morphology.

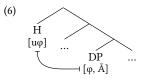
<sup>&</sup>lt;sup>1</sup>Abbreviations used in this handout: 1 = first person, 2 = second person, 3 = third person, AA = anti-agreement (form), ABS = absolutive, AN = animate, CL = class (Bantu), DEF = definite, DEM = demonstrative, ERG = ergative, F = feminine, FOC = focus, INAN = inanimate, M = masculine, PFV = perfective, PL = plural, PRS = present, PST = past, PTCP = participle, REL = relative, SBJ = subject, SG = singular, WH = wh-related morpheme.

<sup>&</sup>lt;sup>2</sup>Unless otherwise cited, Kabyle data in this talk were elicited by me during work with two native speakers in Montréal.

#### Analysis in a nutshell

#### • Syntax

When a  $\phi$ -probe agrees with a goal bearing an  $\overline{A}$ -feature, the resulting feature bundle on the probe includes both  $\phi$ -features and an  $\overline{A}$ -feature.



#### • Morphology

When  $\overline{A}$ -features and  $\varphi$ -features cooccur in the same feature bundle, partial or total **impoverishment** of the  $\varphi$ -features may take place.

- (7) Bundle on H
   [ H, φ, Ā ]
- (8) Impoverishment  $[\phi] \rightarrow \emptyset / [\_, H, \overline{A}]$
- Impoverishment leads to the realization of an unexpected **underspecified** exponent.
- **Variation** arises from how a given language's morphology manipulates and realizes feature bundles of the type in (7)
- Focus on two dimensions of variation:
- 1 How many  $\phi\text{-feature contrasts}$  are expressed in the  $\bar{A}\text{-context}?$ 
  - No φ-features = total φ-impoverishment
  - Some φ-features = partial φ-impoverishment
  - All φ-features = no φ-impoverishment
- 2 Is there specialized morphology that occurs only in the  $\bar{A}$ -context =  $\bar{A}$ -exponence
- Dimensions ① and ② are independent of one another, that is, we can fill in completely a two by three typology of the interaction between φ-impoverishment and Ā-exponence, as shown in table 1.
- The analysis argued for here derives this variation through a uniform syntax for agreement in the non-Āand Ā-contexts. Variation is located in the morphology

		$\textcircled{1} \phi$ -impoverishment				
		TOTAL	PARTIAL	NONE		
<sup>②</sup> Ā-exponence	YES NO	Abaza Fiorentino	Tashlhit Lubukusu			

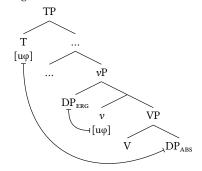
Table 1: Typology of Ā-exponence and impoverishment

# 2 Deriving Ā-sensitive φ-agreement effects

- In section 2.1, I motivate the morphological analysis of Ā-sensitive φ-agreement, taking a close look at the West Caucasian language Abaza (closely related to Abkhaz)
- In section 2.2, I give an overview of the types of syntactic accounts that have been employed in the literature to account for anti-agreement

### 2.1 Abaza: motivating the analysis

- Verbs in Abaza display an ergative-absolutive agreement pattern for person/gender/number. Both subjects and objects control agreement in transitive clauses.
- Intransitive subjects and transitive objects control one agreement paradigm; transitive subjects control another.
- Absolutive is distinguished from ergative by position in the verb and by the form of 3rd person exponents.
- Following O'Herin's (2002) analysis of Abaza, I assume that agreement prefixes spell out  $\varphi$ -probes on functional heads along the clausal spine. Specifically, I assume these probes are hosted by T (absolutive) and  $\nu$  (ergative).
  - (9) Agreement with T and v



- ▷ The lower  $\varphi$ -probe on  $\nu$  agrees with the external argument in Spec- $\nu$ P.<sup>3</sup>
- ightarrow Because ergative agreement is not present in intransitive clauses, I assume that only transitive v hosts a  $\varphi$ -probe.

<sup>&</sup>lt;sup>3</sup>See Coon (2017) for arguments that ergative agreement is low, derived by Spec-Head agreement with  $\nu$ .

CamCoS 8, University of Cambridge

(O'Herin 2002:252)

- I assume that heads bearing  $\phi\text{-probes}$  bear a feature that marks them as agreement heads. I will call this feature [Agr].<sup>4</sup>
  - $\, \triangleright \,$  So, the heads T and v will have (at least) the features in (10) after Agree:
  - (10) Features on T and v after Agree
    - a.  $[T, \phi, Agr]$
    - b.  $[\nu, \phi, Agr]$
- Each agreement paradigm in Abaza includes a morpheme that indexes Ā-arguments: *y* for absolutives, (11) and *z* for ergatives, (12).
  - (11) Absolutive wh-agreement: y
    - a. a-č<sup>w</sup>wal **dzač'<sup>w</sup>əya**<sub>i</sub> **yə**<sub>i</sub>-ta-wa DEF-sack what ABS.WH-in-PRS 'What is in the sack?'
    - b. Izmir pro dzač<sup>\*</sup> ya<sub>i</sub> ya<sub>i</sub>-r-bak<sup>w</sup>az Izmir 3PL who ABS.WH-3PL-see.PL.PST
       'Who did they see in Izmir?' (O'Herin 2002:252)
  - (12) Ergative wh-agreement: z-
    - a. dəzda<sub>i</sub> s-axč<sup>j</sup>a zə<sub>i</sub>-γəč<sup>j</sup>
       who 1sG-money ERG.WH-steal
       'Who stole my money?' (O'Herin 2002:252)
    - b.  $a-fa\dot{c}^{j}\delta\Gamma^{w}$   $a-fin\dot{j}^{j}an$   $a-pn\partial$   $dazda_{i}$   $y-na-z_{i}-ax^{w}$  DeF-sugar DeF-cup 3sG.INAN-at who 3sG.INAN-PFV-ERG.WH-take'Who took the sugar out of the cup?' (O'Herin 2002:252)
- I argue that *wh*-agreement in Abaza is the result of an Agr head agreeing with a DP bearing an Ā-movement related feature, [Ā].

Table 2: Abaza ergative agreement (O'Herin 2002:55)

	1	2f	2м	3f	3м	3inan	Ā
SG	<i>s</i> -	b-	<i>w</i> -	d-	d-	У-	у-
$\mathbf{PL}$	h-	$\int^{w}$	$\int^{W_{-}}$	у-	у-	у-	у-

Table 3: Abaza absolutive agreement (O'Herin 2002:63)

- **Observation 1:** The two *wh*-agreement morphemes differ in their relationship to the rest of the paradigm.
  - ▷ Ergative *wh*-agreement *z* **does not** occur elsewhere in the paradigm.
  - $\, \triangleright \,$  Absolutive *wh*-agreement *y* **does** occur elsewhere in the paradigm.
- Observation 2: Wh-agreement is highly syncretic it only expresses that a given Agr head has agreed with an Ā-operator. No other φ-feature contrasts are expressed.
- Assuming syncretism arises from underspecification, we come to the following conclusion:
- (13) The prefixes *z* and *y* are highly underspecified. They spell out a very small set of features.
  - a. The prefix *y* is a morphological default.
  - b. The prefix *z* spells out the feature  $[\bar{A}]$
- Taking (13) seriously, I assume that there are basically three types of agreement vocabulary items (VIs) in Abaza, shown in table 4:

VI type	Features spelled out	Distribution
Full agreement	[φ, Agr]	Abs/Erg
	[φ, Agr, T]	Abs
	[φ, Agr, ν]	Erg
Proper Wh-agreement (z-)	$[\bar{A}, Agr, \nu]$	Erg
Elsewhere ( <i>y</i> -)	[Agr]	Abs

Table 4: Types of Abaza agreement VIs

• The agreement VIs *z*- and *y*- do not spell out  $\varphi$ -features.

▷ The prefix *z*- spells out [Å], [Agr], and [v].

(14) 
$$z \rightarrow [\overline{A}, Agr, v]$$

<sup>&</sup>lt;sup>4</sup>I take the [Agr] feature in (10) to be equivalent to the postsyntactically inserted, dissociated Agr-nodes that are assumed in some analyses of morphological agreement in DM (Halle and Marantz 1993; Kramer 2010; Norris 2014).

- ▷ The prefix *y* spells out just [Agr].
  - (15) Abaza default agreement
    - $\text{y-} \leftrightarrow [\text{Agr}]$
- \* I argue that a feature bundle including an  $\overline{A}$ -feature like the one in (14) is an option because of the way  $\varphi$ -probes interact with the features on a goal that they agree with.

#### Ingredients of the account

- 1. XPs that undergo  $\bar{A}$ -movement bear some kind of  $\bar{A}$ -feature. I assume that  $\bar{A}$ -features on DPs are merged on D and both  $\bar{A}$ -features and  $\phi$ -features percolate to the DP level.
  - (16) DP bearing both  $\bar{A}$  and  $\varphi$ -features



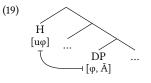
- 2. Following Deal (2015, 2016), a probe's *interaction* condition(s) and *satisfaction* condition(s) may be distinct.
  - (17) Interaction and Satisfaction in  $\varphi$ -agreement

A probe H may interact with feature set F even if it may only be satisfied by feature set G,  $G \subseteq F$ .

- a. Interaction: Probe H interacts with feature [F] by copying [F] to H.
- b. **Satisfaction**: Probe H is satisfied by feature G if copying G to H makes H stop probing. (adapted from Deal 2016:3)

When a probe interacts with a feature but is not satisfied by that feature, it continues searching. Search only halts when probe's satisfaction condition is met.

- 3. The set of  $\phi$ -features ( $\Phi$ ) and the set of  $\overline{A}$ -features ( $\overline{A}$ ) belong to a larger set of features,  $\mathcal{F}$ .
  - (18) a.  $\mathcal{F} = \{\Phi, \bar{A}\}$ 
    - b. There is no variation in interaction conditions  $\phi\text{-probes}$  and  $\bar{A}\text{-probes}$  both have the same interaction conditions:  $\mathcal{F}.$
- Consider the consequences of (17) and (18) for a  $\varphi$ -probe on a head H that finds a DP that bears both [ $\varphi$ ] and [ $\overline{A}$ ].



- $\rhd$  [u $\varphi$ ] on H searches in its c-command domain for features and finds the DP bearing [ $\varphi$ ] and [ $\overline{A}$ ].
- ▷ The probe interacts with both of these features, and 7therefore copies back both sets of features to H.

- Therefore, a head with  $[u\phi]$  that Agrees with a DP with  $[\bar{A}]$  in Abaza will always have (at least) the features in (20).
- (20) Form of an Abaza head hosting a  $\varphi$ -probe after Agree with operator

 $\left[ \phi, \tilde{A}, Agr, \left\{ \begin{array}{c} v \\ T \end{array} \right\} \right]$ 

- However, if (20) is the form of a φ-probe at the point of Vocabulary Insertion, the prefixes z- and y- should never be inserted, even in Ā-contexts where this indeed occurs.
- This is because vocabulary insertion is constrained by the Subset Principle (Halle and Marantz 1993).
- (21) Subset Principle (based on Keine 2010:8) A vocabulary item V is inserted into a terminal node N iff (a) and (b) hold:
  - a. The morphosyntactic features of V are a subset of the morphosyntactic features of N.
  - b. V is the most specific vocabulary item that satisfies (a).
- Full agreement VIs should always be inserted instead of *z* or *y* because they will always realize more features of the feature bundle in (20) than *z* or *y*-.

## The Solution

- I propose that *z* and *y* can be inserted in the first place because of the postsyntactic operation **impoverishment** (Bonet 1991; Noyer 1992, 1997; Halle and Marantz 1993; Keine 2010).
- Specifically, I argue that the impoverishment rule in (22) applies prior to Vocabulary Insertion in Abaza
  - (22) Abaza  $\varphi$ -feature impoverishment  $[\varphi] \rightarrow \emptyset / [\_, \overline{A}, Agr]$
- By deleting features from a terminal nodes, impoverishment may block the insertion of a VI into that node because the VI's features are no longer a subset of that node.
- Thus, impoverishment systematically leads to the insertion of underspecified morphemes in certain environments.

- This analysis centers the mechanism that derives Ā-sensitive agreement primarily in the morphology.
  - $\,\triangleright\,\,$  The same sequence of operations underlies  $\phi\text{-}agreement$  in the  $\bar{A}\text{-}context$  and in the non- $\bar{A}\text{-}context$ 
    - i. Agree in the syntax
    - ii. Vocabulary insertion in the morphology
  - $\, \triangleright \, \,$  Copying of an Ā-feature to a head with a  $\phi$ -probe in the syntax has morphological consequences, here impoverishment of all  $\phi$ -features on the probe.

### **Core Intuition**

There is a deep connection between underspecification, impoverishment, and the morphology that appears in the context of  $\bar{A}\text{-movement}.$ 

- In terms of the dimensions of variation mentioned in the introduction, Abaza has ...
  - $\, \triangleright \,\,$  Total impoverishment, as no  $\phi\text{-features}$  are expressed in the A-context
  - $\,\triangleright\,\,$  Å-exponence with ergative agreement
  - $\, \triangleright \,$  No Ā-exponence with absolutive agreement

 ① φ-impoverishment

 TOTAL
 PARTIAL
 NONE

 ② Ā-exponence
 YES
 Abaza

 NO
 Abaza

Table 5: Typology of Ā-exponence and impoverishment

• The fact that Abaza instantiates both this options is significant, in that it shows that lack of  $\varphi$ -agreement is not in complementary distribution with  $\overline{A}$ -exponing morphology *in the same language.* 

## 2.2 Syntactic alternatives

- As mentioned above, the dominant line of thought in previous literature has been to treat default morphology in the Ā-context ("anti-agreement") as **different from** specialized Ā-related agreement morphology ("*wh*-agreement")
- There is little theoretical consensus in the literature on how anti-agreement should be derived, but existing accounts are predominantly syntactic.
- The core idea is that anti-agreement results from **syntactic constraints on movement**. The logic is generally as follows:
- ① Agreement with a DP requires a certain structural configuration.

- $@ \;$  This structural configuration blocks  $\bar{A}\text{-movement}$  of that DP.
- $\ensuremath{\textcircled{3}}$  For such a DP to be extracted, it must not enter into the structural configuration required for  $\phi\textsc{-}$  agreement.
- $\circledast\,$  Because the DP does not enter into this configuration, no  $\phi\text{-}agreement$  occurs.
- In other words, anti-agreement should arise in the scenario in (23), where  $\alpha$  is a position normally targeted for  $\varphi$ -agreement, and  $\beta$  is the landing site of  $\bar{A}$ -movement.
  - (23)  $\begin{bmatrix} & & & \\ & & & \\ & & & \end{bmatrix}$   $\begin{bmatrix} & & & & \\ & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & & \\ & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & & \\ & & & &$
- Syntactic accounts of anti-agreement differ on the specifics of the nature of the constraint employed.
  - 1. Criterial Freezing (Rizzi and Shlonsky 2007; Diercks 2010; Shlonsky 2014)
    - Canonical φ-agreement requires that the DP move to a 'criterial position', from which further movement is blocked (Rizzi 2006, 2007).
    - $\,\vartriangleright\,$  Avoidance  $\rightarrow$  don't move to the criterial position
  - 2. Feature Strength (Richards 1997, 2001; Boeckx 2003; Henderson 2013)
    - $\simeq$  Positions in a movement chain may be 'strong' or 'weak' (defined featurally). A chain may not contain more than one 'strong' position. Ā-movement and  $\varphi$ -agreement both involve 'strong' features.
    - $\, \triangleright \,\,$  Avoidance  $\rightarrow$  'weaken' a strong position (voiding agreement)
  - 3. Anti-locality (Bošković 1997; Cheng 2006; Schneider-Zioga 2007; Erlewine 2016; Pesetsky 2016)<sup>5</sup>

    - $\, \triangleright \,\,$  Avoidance  $\rightarrow$  move from a position that is not in an anti-local configuration
- The shared property of this type of accounts is that the normal syntax of  $\phi\text{-agreement}$  is disrupted by  $\tilde{A}\text{-movement}.$
- In the next sections, I'll present data that are problematic for this core property
- The unified, morphological theory of  $\bar{A}$ -sensitive  $\varphi$ -agreement developed in the previous section handles these data in a straightforward way.

<sup>&</sup>lt;sup>5</sup>See Baier (2017) for further arguments against an anti-locality based approach to anti-agreement not discussed in this talk.

# **3** Variation in φ-feature neutralization

- Languages differ as to how many  $\phi\text{-feature}$  contrasts are neutralized in the presence of  $\bar{A}\text{-features}.$ 
  - $\ \ \, \ \ \, \textbf{Total neutralization} \rightarrow all \ \phi \text{-feature contrasts are neutralized}$
  - $\ \ \, \textbf{Partial neutralization} \rightarrow \textbf{some } \phi \textbf{-} feature \ \ \, \textbf{contrasts are neutralized while others are retained}.$
- Compare the Kabyle data in (24) with the Tashlhit (Berber, Morocco) data in (25). In Tashlhit, *number agreement is retained* under subject extraction, while *person and gender agreement are suppressed*.
  - (24) Kabyle<sup>6</sup>(Berber, Algeria)

Table 8: Tasl

iqcicin-nni i { i-wala-n / \*wala-n } Mohand woman-DEM C { 3sg.м-see-ртср / see-3pl.м } Mohand `the boys who saw Mohand`

- (25) irgazn<sub>i</sub> nna ffegh-n-\*(in) \_\_\_i man.PL C<sub>REL</sub> left-PFV-{PTCP-\*(PL)
   'the men who left.'
   (Ouhalla 2005 citing Chafiq 1990:123)
- ▷ In Kabyle, the verb takes a 3sg.M prefix *i* and the participle suffix *-n*.
- $\, \triangleright \,$  In Tashlhit, the verb takes the participle suffix, and in addition must take the plural suffix -in.
- The Kabyle and Tashlhit subject agreement paradigms and participle forms are given in the tables below.

	SG	PL				SG	PL
1	<b>М-</b> R	n-V			1	i-V-n	i-V-n
2м	t-V-t	t-V-m			2м	i-V-n	i-V-n
2f	t-V-t	t-V-mt			2f	i-V-n	i-V-n
3м	i-V	V-n			3м	i-V-n	i-V-n
3f	t-V	V-nt			3f	i-V-n	i-V-n
le 6:		φ-agreem	ıt			7: Kaby	e particp
le 6:			ıt			7: Kaby SG	e particp PL
le 6:	Kabyle	φ-agreem	ıt				
	Kabyle SG	φ-agreem PL	ıt		Table	sG i-V-n	PL
1	Kabyle sg V-y	φ-agreem PL n-V	ıt		Table	sG i-V-n	PL V- <b>n-in</b>
1 2м	Kabyle sg V-y t-V-t	φ-agreem PL n-V t-V-m	ıt		Table 1 2M	sG i-V-n i-V-n i-V-n	PL V- <b>n-in</b> V- <b>n-in</b>

- Partial neutralization is significant because it indicates that **there must be some successful agreement** with the extracted DP in the syntax.
- In Tashlhit, at least the [NUMBER] feature of the extracted subject must be available to Agree in the syntax so that these features can be spelled out in the morphology.
  - $^{\rhd}~$  This fact is an important explanandum for any general theory of  $\phi\text{-agreement}$  neutralization under Å-extraction.
- In terms of the current theory, the difference between total and partial neutralization rests in the impoverishment rules active in a given language.

  - ightarrow Partial neutralization  $\rightarrow$  partial  $\phi$ -impoverishment in the context of [Å]
- For Kabyle, the relevant total impoverishment rule is given in (26):
  - (26) Kabyle partial  $\varphi$ -impoverishment  $[\varphi] \rightarrow \emptyset / [\_, \overline{A}, Agr]$
- For Tashlhit, the relevant partial impoverishment rule is given in (27):
- (27) Tashlhit partial  $\varphi$ -impoverishment [PERSON, GENDER]  $\rightarrow \emptyset / [\_, \overline{A}, Agr]$
- Aside: In both languages, I treat the participle suffix as the spell out of the Ā-feature that remains after impoverishment
  - (28) Kabyle/Tashlhit  $\bar{A}$ -exponence -n  $\leftrightarrow [\bar{A}] / [\_, Agr]$
- ▷ 'Participle' is a misnomer → the suffix is only found in the context of subject Ā-extraction, and verb forms bearing the suffix show no nominal properties.<sup>7</sup>
- For syntactic accounts of anti-agreement, partial neutralization  $\rightarrow$  partial syntactic agreement
- How would a syntactic account of anti-agreement handle the Tashlhit effect? Recall the logic of these accounts:

 $\alpha$  is a position normally targeted for  $\varphi\text{-}$  agreement,  $\beta$  is the landing site of  $\bar{A}\text{-}$  movement

<sup>&</sup>lt;sup>7</sup>See Drouin (1996) and Kossmann (2003, 2012) for comparative discussion of participles in Berber. See Baier (2018) for further discussion of this analysis of *-n*.

- For Tashlhit, a syntactic account could posit that:
  - $\sim$  [PERSON]/[GENDER] agreement are only accessible to the relevant  $\varphi$ -probe(s) when the DP occupies  $\alpha$ ,
  - while [NUMBER] agreement is accessible to the relevant φ-probe(s) even if the DP does not occupy α
- Other patterns of partial neutralization are not as simple as the Tashlhit example, however.
- **Ghadamès** (Berber, Libya) has three participle forms masculine singular, feminine singular, and plural. Compare these to the full agreement forms.

	SG	PL				
,	V-ăŶ	n-V-ăt				
	V-ăî	n-V-măt			SG	PL
	t-V-ət	t-V-ăm	М	i-V	V-ăn	V-n-in
	t-V-ət	t-V-măt	F	t-'	V-ăt	V-n-in
	i-V	V-ăn	Table 11: Ghadamès	part	iciple	forms (Ke
	t-V	V-năt		1	1	(

Table 10: Ghadamès φ-agreement (Kossmann 2013:91)

- ▷ The participle never expresses agreement for [PERSON]
- ightarrow When the extracted subject is **plural**, the verb has only one possible form  $\rightarrow$  **V**-*n*-*in*
- $\sim$  When the extracted subject is **singular**, the verb has two possible forms  $\rightarrow$  **i-V-ăn** (masculine) or **t-V-ăt** (feminine)
- The current account captures this pattern with impoverishment rules that are conditioned not only by the presence of an  $\overline{A}$ -feature, but also by the presence of specific  $\varphi$ -features.
  - (30) Ghadamès partial φ-impoverishment
    - a. [person]  $\rightarrow \emptyset / [\_, \overline{A}, Agr]$
    - b. [gender]  $\rightarrow \emptyset / [\_, +pl, \overline{A}, Agr]$
- Ben Tey (Dogon, Mali) presents another example of this type of partial neutralization.
  - $\, \triangleright \,$  Subjects normally control person and number agreement on the verb.
  - ▷ Subject focus triggers anti-agreement.
  - ▷ The full agreement and anti-agreement paradigms are given in tables 12-13.

SG PL		SG	PL
1 V-ỳ V-:-ỳ	1	V	V
2 V-ẁ V-:-ẁ	2	V	V
3 V V-(y)È	3	V	V-(y)è
ble 12: Ben Tey φ-agreement (Heath 2013)	Table 13: Ben	ı Tey	y AA (He

- ▷ [PERSON] agreement is always suppressed.
- ▷ [NUMBER] agreement is only suppressed if the focused subject is 1st/2nd person.
- Assuming that that 1st person and 2nd person are distinguished from 3rd person minimally with the feature
  [+PART] (Harley and Ritter 2002; Nevins 2007, a.o.), the Ben Tey pattern can be derived through two partial
  φ-impoverishment rules.<sup>8</sup>
- (31) Ben Tey partial φ-impoverishment
  - a. [person]  $\rightarrow \emptyset / [\_, \overline{A}, Agr]$
  - b. [NUMBER]  $\rightarrow \emptyset / [\_, +PART, \overline{A}, Agr]$
- In both Ghadamès and Ben Tey, it is the presence both of an  $\bar{A}$ -feature and of *specific \varphi-feature* that triggers anti-agreement.
- The requirement of a specific  $\varphi$ -feature requirement makes these patterns challenging to syntactic analyses of anti-agreement.
- Again recall the underlying logic of syntactic accounts:

 $\alpha$  is a position normally targeted for  $\varphi\text{-}$  agreement,  $\beta$  is the landing site of  $\bar{\text{A}}\text{-}$  movement

- In order to derive the **Ben Tey pattern**, we would have to say something like the following:
  - ▷ [+PART] agreement is only possible when a DP is at  $\alpha$ ,
  - $\sim$  [NUMBER] agreement is possible even if a DP is *not* at  $\alpha$ ,
  - ▷ **but** [NUMBER] agreement is impossible if the DP could have agreed for [+PART] and is not located at  $\alpha$
  - ▷ In other words, DPs with [+part] can only agree from  $\alpha$ , while DPs with [-part] agree from another position.

<sup>&</sup>lt;sup>8</sup>The impoverishment rules proposed for Ben Tey in (31) must be crucially ordered, with (31b) preceding (31a).

(O'Herin 2002:252)

- In order to derive the Ghadamès pattern, we would have to say something like the following:
- $\triangleright$  [PERSON] agreement is only possible when a DP is at  $\alpha$ ,
- ▷ [NUMBER] agreement is possible even if a DP is not at  $\alpha$ ,
- $\triangleright$  [GENDER] agreement is possible even if a DP is not at  $\alpha$  **but** only when a DP is [-PLURAL]
- $\, \triangleright \, \,$  ... but this limitation on [gender] agreement is only in play when the DP is not at  $\alpha$
- I suggest that the morphological alternative is a much more straightforward way of explaining the Ben Tey and Ghadamès patterns.
  - $\sim$  It is known that  $\varphi$ -features are capable of triggering impoverishment of other  $\varphi$ -features (Noyer 1992, 1997).
  - ho Therefore, it should be possible for  $\phi$ -features to condition such deletion in the context of  $\overline{A}$ -features.

# 4 The independence of impoverishment and Ā-exponence

- We have now seen examples of morphological variation along two dimensions
- ① How many  $\varphi$ -feature contrasts are impoverished in the  $\overline{A}$ -context?
- $@ \$  Is there morphology that realizes the  $\bar{A}\mbox{-}feature$  copied by the  $\phi\mbox{-}probe$
- Whether a language has total or partial φ-impoverishment is independent of whether or not that language exhibits Ā-exponence.
- Both Abaza and Kabyle have morphemes that realize this Ā-feature, (33).
  - (33) Abaza and Tarifit  $\rightarrow$  total impoverishment,  $\bar{A}$ -feature realized
    - a. Abaza

a-fač<sup>j</sup>əĩ<sup>w</sup> a-finj<sup>j</sup>an a-pnə dəzda y-na-**z**-ax<sup>w</sup> DEF-sugar DEF-cup 3sg.inan-at who 3sg.inan-pfv-erg.wh-take 'Who took the sugar out of the cup?'

b. Kabyle

iqcicin-nni i { i-wala-n / \*wala-n } Mohand woman-DEM C { 3sg.м-see-ртср / see-3pl.м } Mohand 'the boys who saw Mohand'

• The northern Italian dialect Fiorentino does not realize the Ā-feature responsible for improverishment, (34).

(34) Fiorentino → total impoverishment, Ā-feature not realized
 Quante ragazze gli ha parlato con te how.many girls 3sG have.3sG spoken with you
 'How many girls (it) has spoken to you?'

(Brandi and Cordin 1989:124)

- All three languages, however, exhibit total φ-impoverishment.
- The Berber language Tashlhit displays partial impoverishment and a morpheme expressing the Ā-feature left over after such impoverishment has taken place, as shown in (35).
- In the Bantu language Lubukusu, on the other hand, we have partial impoverishment but no overt realization of the Ā-feature that is responsible for triggering the impoverishment rule, (36).
  - (36) Lubukusu  $\rightarrow$  partial impoverishment,  $\bar{A}$ -feature not realized

a.		o-{ <b>w</b> /* <b>n</b> }-onak-e CL1.C-{CL1.AA/1SG.SBJ}-damage-PST	kumulyango c13.door	kuno cl3.deм	
	'It is l	who damaged the door'			(Diercks 2010:133)
b.	Nifwo 1pl	e ba-{ <b>w</b> /* <b>khw</b> }-onak-e Cl2.C-{CL2.SBJ/1PL.SBJ}-damage-PS	kumulyang т с13.door	о kuno cl3.dem	
	'It is	us who damaged the door'			(Diercks 2010:133)

- Assuming that 1st persons are specified for as class 1/2 (gender A singular or gender A plural), (36) involves the impoverishment of [PERSON] without deleting [GENDER, NUMBER] (Diercks 2010; Henderson 2013)
- It is also clearly the case that some languages do not neutralize  $\varphi$ -features in the context of  $\overline{A}$ -features.
- $\sim$  An example of one such language is Mexican Spanish, where full subject-verb  $\varphi$ -agreement is present on the verb in the relative clause part of a subject cleft.
  - (37) Mexican Spanish subject cleft → full φ-agreement, no Ā-exponence<sup>5</sup>
     Soy yo que estoy aquí be.1sG 1sG C be.1sG here 'It's me who is here.'
- $\triangleright$  The full agreement between the verb *estoy* and the clefted 1sG pronoun can be accounted for by saying that Mexican Spanish does not have an active  $\varphi$ -impoverishment rule in the context of  $\overline{A}$ -features

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- A clear prediction of the theory of Ā-sensitive agreement developed in this talk is the following
  - (38) There should be languages that exhibit  $\bar{A}$ -exponence while lacking  $\phi$ -impoverishment in the context of  $\bar{A}$ -features.
- There is at least one such language  $\rightarrow$  Kobiana (Atlantic, Guinea-Bissau).
- Verbs in Kobiana agree with their subjects for person and number through a set of subject agreement prefixes. Subject focus triggers a second set of subject agreement prefixes on the verb.
  - (39) Kobiana subject-verb agreement (John Merrill, p.c.)

a.	No subject focus	b.	Subject focus
	<b>á</b> -ndékk-i 2sg-walk-ppy		áyì <b>ée</b> -ndékk-ən-i 2sg 2sg.foc-walk-foc-pfv
	'You walked.'		'It's you who walked.'

- $\,\triangleright\,\,$  In (39a), the 2sg subject is not focused and the verb bears the subject agreement prefix  $\dot{a}$ -.
- $\, \triangleright \,$  In (39b), the 2sg subject is focused and the subject agreement prefix is changed to  $\acute{e}\text{-.}^{\scriptscriptstyle 10}$
- The paradigms found with non-focused subjects and focused subjects are given in tables 14 and 15, respectively (both from Voisin 2015:368).

	SG	PL					SG	PL
1	má-	ngée-				1	mé-	ngéena-
2	á-	káa-				2	ée-	káana-
3	à-	náà-				3	áma-	náàná-
Table 14	: Kobiar	ia φ-agre	ement		Table 15	Kob	oiana sub	ject focus a

- There are two crucial observations with regards to the two  $\phi\text{-}agreement$  paradigms above.
  - 1. The subject focus agreement paradigm in table 15 retains all  $\varphi$ -feature contrasts present in the basic agreement paradigm in table 14.
  - 2. The Kobiana subject focus  $\phi\text{-}agreement$  paradigm is not transparently segmentable.
- In the current theory, I argue that this means Kobiana has two distinct sets of  $\varphi$ -agreement VI, shown in (40a)
  - (40) Kobiana agreement VIs
    - a. má-, á-, à-, ngée-, káa-, náà-  $\leftrightarrow$  [ $\phi$ , Agr]
    - b. mée-, ée-, áma-, ngéena-, káana-, náàná-  $\leftrightarrow$  [ $\phi$ ,  $\bar{A}$ , Agr]

- $\,\vartriangleright\,$  The first realizes just a set of  $\phi\mbox{-features},$  and is shown in (40a).
- $^{\rhd}~$  The second set realizes a set of  $\phi$ -features and an  $\bar{A}$ -feature, as shown in (40b), and will block insertion of the first set of VIs whenever the subject bears an  $\bar{A}$ -feature.
- If my analysis of Kobiana is on the right track, then we can fill in completely a two by three way typology of the interaction between  $\varphi$ -impoverishment and  $\bar{A}$ -exponence.

		$\textcircled{1} \phi$ -impoverishment				
		TOTAL	PARTIAL	NONE		
<sup>②</sup> Ā-exponence		Abaza Fiorentino	Tashlhit Lubukusu			
Table 16: Typology of Ā-exponence and impoverishment						

- In fact, table 16 obscures the important point that in languages like Abaza, there may be instances Ā-sensitive agreement morphology that exhibit Ā-exponence and some that do not.
  - $\, \triangleright \,$  This supports the conclusion that these properties are independent dimensions of variation
- The typology in table 16 falls out naturally if Ā-sensitivity is simply a property of φ-probes in general, and is not subject to crosslinguistic variation.
- (41) The  $\bar{A}$ -Sensitivity Uniformity Hypothesis

All  $\phi$ -probes are  $\bar{A}$ -sensitive – they interact with  $\bar{A}$ -features on their goal(s). There is no crosslinguistic variation in this property.

- Whenever a  $\phi\text{-probe}$  agrees with a goal bearing both  $[\phi]$  and  $[\tilde{A}],$  both feature sets are copied back.
- Variation resides in how a language responds morphologically to this process, and involves variation in both the presence of certain morphological rules and in the presence of certain types of vocabulary items
- $\odot~$  Languages vary as to whether impoverishment applies in the context of Å-features, and when it does, how many features are impoverished
- $@ \ Languages vary as to whether there are vocabulary items that spell out the <math display="inline">\tilde{A}$ -features that are copied to  $\phi$ -probes when it interacts with a  $\tilde{A}$ -marked DP.
- These types of variation are independently needed in the model of morphology employed here (DM).

<sup>&</sup>lt;sup>9</sup>Judgement from a native speaker of Mexican Spanish from Oaxaca. <sup>10</sup>In addition, the verb in (39b) takes the focus suffix  $\neg n$ , which is limited to subject focus clauses (John Merrill, p.c.).

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## 

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## **5** Appendix: Asymmetries in φ-feature impoverishment

- When one looks closely at the patterns of  $\varphi$ -feature syncretism in the context of  $\tilde{A}$ -features attested crosslinguistically, the number of possible patterns turns out to be very small.
- The attested patterns of leveling in a survey of 63 languages (Baier 2018) are summarized in table 17.

	Nori	nal Agre	ement	Anti-Agreement			
	Person	Gender	Number	Person	Gender	Number	
Type 1	1	(🗸)	1				
Type 2	1	(🗸)	1			1	
Type 3	1	$\checkmark$	1		1	1	

Table 17: Patterns of impoverishment

- ho Type 1 impoverishment  $\rightarrow$  all normal agreement features are neutralized
- ho Type 2 impoverishment ightarrow all normal agreement features other than NUMBER are neutralized
- $\sim$  Type 2 impoverishment  $\rightarrow$  only person agreement is neutralized, while Gender and NUMBER agreement remain indexed
- The generalization that emerges from table 17 is that  $\varphi$ -contrast neutralization under  $\overline{A}$ -sensitive agreement is constrained by an implicational hierarchy, given in (42).
- Given the theory of anti-greement as φ-impoverishment triggered by Ā-features, the Feature Impoverishment Hierarchy (FIH) dictates that an impoverishment rule that deletes feature X must also delete all features to the left of X.
- See (Baier 2018) for a proposal regarding the structure of  $\varphi$ -features that derives (42)